

07/21/00

07-25-00

A  
cket No. 61150/JPW/JML

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Honorable Assistant Commissioner for Patents  
 Washington, D.C. 20231  
 Box: Patent Application  
 S I R:

July 21, 2000



Transmitted herewith for filing are the specification and claims of the  
 patent application of:

Paul B. Fisher and Zao-Zhong Su

Inventor(s) NUCLEIC ACIDS COMPRISING REGIONS OF THE RAT PEG-3 PROMOTER  
 THAT DISPLAY ELEVATED EXPRESSION IN HUMAN CANCER CELLS AND USES THEREOF

Title of Invention

Also enclosed are:

☒ 13 sheet(s) of ☐ informal ☒ formal drawings.

☒ Oath or declaration of Applicant(s). (Unsigned)

☒ A power of attorney (Unsigned)

☐ An assignment of the invention to \_\_\_\_\_

☐ A Preliminary Amendment

☒ A verified statement to establish small entity status under 37 C.F.R.  
 §1.9 and §1.27.

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	NUMBER FILED		NUMBER EXTRA*		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	37 -20	=	17	X	\$ 9.00	\$18.00	= \$ 153	\$
Independent Claims	3 -3	=	0	X	\$39.00	\$78.00	= \$ 0	\$
Multiple Dependent Claims Presented:				Yes <input checked="" type="checkbox"/> No	\$130.00	\$260.00	= \$ 0	\$
BASIC FEE							\$ 380	\$ 760
TOTAL FEE							\$ 533	\$

\*If the different in Col. 1 is  
 less than zero, enter "0" in  
 Col. 2

☒ A check in the amount of \$ 533.00 to cover the filing fee.

☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any over-payment to Account No. 03-3125:

☒ Filing fees under 37 C.F.R. §1.16.

☒ Patent application processing fees under 37 C.F.R. §1.17.

☐ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).

☒ Three copies of this sheet are enclosed.

☒ A certified copy of previously filed foreign application No. \_\_\_\_\_  
\_\_\_\_\_ filed in \_\_\_\_\_ on \_\_\_\_\_.  
Applicant(s) hereby claim priority based upon this aforementioned  
foreign application under 35 U.S.C. §119.

☒ Other (identify)

One extra set of figures, an Express Mail Certificate  
of Mailing bearing the label # EM 525 891 488 US  
dated July 21, 2000.

Respectfully submitted,



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Paul B. Fisher and Zao-Zhong Su  
U.S. Serial No.: Not Yet Known  
Filing date : Herewith  
For : NUCLEIC ACIDS COMPRISING REGIONS OF THE RAT  
PEG-3 PROMOTER THAT DISPLAY ELEVATED  
EXPRESSION IN HUMAN CANCER CELLS AND USES  
THEREOF

1185 Avenue of the Americas  
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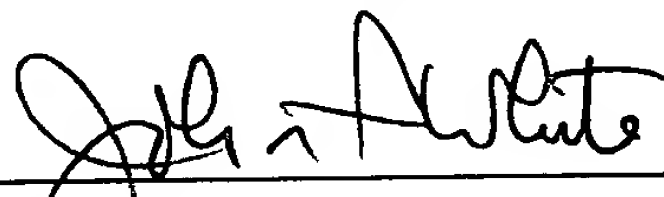
SIR:

**EXPRESS MAIL CERTIFICATE OF  
MAILING FOR ABOVE-IDENTIFIED APPLICATION**

"Express Mail" mailing label number: EM 525 891 488 US  
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I hereby certify that this paper or fee is being deposited with  
the United States Postal Service "Express Mail Post Office to  
Addressee" service under 37 C.F.R. §1.10 on the date indicated  
above and is addressed to the Assistant Commissioner for Patents  
Box PCT, Washington, D.C. 20231.

Printed Name: James L. White

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